



**AMERICAN LEGAL & FINANCIAL NETWORK
MISSION, CODE OF CONDUCT AND CODE OF ETHICS**

ALFN's Mission

The ALFN is a professional organization comprised of members of the legal and financial services industries. Our mission is to serve our members through leadership, education, advocacy, and professional development.

Code of Conduct

1. ALFN Members must refrain from making false or misleading communications about services offered.
2. ALFN Members may state their membership affiliation and use the ALFN trademarks & logo in their marketing materials. This applies only to the states in which member currently holds active membership. All copyright laws are applicable and enforced.
3. ALFN Members must timely pay their membership fees. Failure to pay yearly membership dues may result in suspension or termination of membership.
4. ALFN Members are strongly encouraged to cooperate & share information with one another in the pursuit and promotion of business opportunities. However, ALFN members are required to refrain from discussing pricing or any matters that could be considered anti-competitive.
5. ALFN Members must safeguard all proprietary and confidential information provided to members of the ALFN.
6. ALFN members are encouraged to attend and participate in all conferences, events, seminars and other activities hosted by the ALFN.
7. ALFN members are encouraged to promptly respond to any written or oral communication from an ALFN Board of Directors or Executive Team Member.
8. ALFN Members are encouraged to raise any concerns regarding potential violations of this policy to an ALFN Board of Directors or CEO.
9. ALFN Members must agree to adhere to the decisions of the ALFN Board of Directors.
10. Any ALFN member who is found in violation of the code of conduct shall be subject to appropriate discipline including but not limited to suspension or termination.



Code of Ethics

1. ALFN members shall conduct themselves in a professional & courteous manner.
2. ALFN members shall remain licensed and insured in their respective jurisdictions at all times.
3. ALFN members shall refrain from giving any inducement that could be perceived as a "kickback"
4. ALFN members agree to adhere to high standards of practice in all jurisdictions in which they practice.
5. ALFN members agree to communicate and deal with all clients and fellow members openly, fairly & honestly at all times.
6. ALFN members agree to maintain a high degree of professionalism at all times and to make every effort to promote the values of the organization, and the integrity of their fellow ALFN members.
7. ALFN members agree to abide by all conduct & ethics codes and bylaws of the ALFN at all times.
8. ALFN members agree to abide by the ABA code of ethics, as modified in their states of practice.
9. ALFN members are strictly prohibited from distributing, republishing in any format, or materials otherwise disseminating any ALFN proprietary or confidential information or materials.

Mission, Code of Conduct and Code of Ethics were Adopted by the ALFN Board of Directors on June 13, 2017